

**IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF TEXAS
DALLAS DIVISION**

**SECURITIES AND EXCHANGE
COMMISSION,**

Plaintiff,

V.

**STANFORD INTERNATIONAL
BANK, LTD., et al.,**

Defendants.

CIVIL ACTION NO. 3-09-CV 0298-N

**APPENDIX IN SUPPORT OF EXAMINER'S MOTION FOR
APPROVAL OF FIRST INTERIM APPLICATION FOR PAYMENT OF
ATTORNEY'S FEES AND EXPENSES AND BRIEF IN SUPPORT**

John J. Little, Examiner, respectfully submits his Appendix in Support of the Examiner's First Interim Application for Payment of Attorney's Fees and Expenses and Brief in Support. Included in this Appendix are the following:

<u>Exhibit</u>	<u>Description</u>	<u>App. Page Nos.</u>
A	Examiner's Declaration	3-8
1	Little Pedersen Fankhauser Invoice for period from April 20, 2009 through June 30, 2009	10-60
2	John J. Little <i>Curriculum Vitae</i>	62-63
3	<i>Curriculum Vitae</i> for Megan K. Dredla, Stephen G. Gleboff, Robert R. Little, Fred C. Pedersen and Walter G. Pettey, III	65-71

Respectfully submitted,

/s/ John J. Little

John J. Little

Tex. Bar No. 12424230

LITTLE PEDERSEN FANKHAUSER, LLP

901 Main Street, Suite 4110

Dallas, Texas 75202

(214) 573-2300

(214) 573-2323 [FAX]

Of Counsel:

LITTLE PEDERSEN FANKHAUSER L.L.P.

Stephen G. Gleboff

Tex. Bar No. 08024500

Walter G. Pettey, III

Tex. Bar No. 15858400

Megan K. Dredla

Tex. Bar No. 24050530

901 Main Street, Suite 4110

Dallas, Texas 75202

Telephone: 214.573.2300

Fax: 214.573.2323

CERTIFICATE OF SERVICE

On July 15, 2009 I electronically submitted the foregoing document with the clerk of the court of the U.S. District Court, Northern District of Texas, using the electronic case filing system of the court. I hereby certify that I have served all counsel and/or *pro se* parties of record electronically or by another manner authorized by Federal Rule of Civil Procedure 5(b)(2).

/s/ John J. Little

**IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF TEXAS
DALLAS DIVISION**

**SECURITIES AND EXCHANGE
COMMISSION,**

Plaintiff,

v.

**STANFORD INTERNATIONAL
BANK, LTD., *et al.*,**

Defendants.

§
§
§
§
§
§
§
§
§
§
§

CIVIL ACTION NO. 3-09-CV 0298-N

EXAMINER'S DECLARATION

1. My name is John J. Little, and I have been appointed the Examiner in the above-captioned case. I have been practicing law since 1983. Throughout my career I have handled commercial litigation matters in Dallas and other Counties in the State of Texas and in various jurisdictions throughout the United States. I practiced at Hughes & Luce, LLP from 1983 until 1994, and was a partner in that firm from January, 1991, until January 1994, when I left that firm and helped found the firm of Little Pedersen Fankhauser L.L.P.

2. I have approximately 25 years experience in civil litigation matters. During that time, my practice has focused on commercial litigation. I am familiar with the reasonable, necessary, and customary fees charged by attorneys practicing in Dallas, Texas for handling complex civil litigation.

3. I have attached hereto as Exhibit 1 a true and correct copy of the invoice issued by Little Pedersen Fankhauser, L.L.P. for the legal fees and expenses that I have incurred

since my appointment as the Examiner in this matter. The invoice covers the period from April 20, 2009 through June 30, 2009. The invoice reflects that I and others at my firm have billed a total of 658.5 hours since my appointment, and that the attorneys' fees sought in respect of those hours are \$274,487.15. The invoice further reflects that my firm has incurred expenses in connection with its services in the amount of \$2,635.15 during that time. Those expenses include charges for photocopies, facsimile transmissions, and postage. They also include database services (WestLaw), the cost of a transcript from the hearing conducted on June 29, 2009, and the expenses incurred for my trip to Houston to meet with the Receiver and his counsel at the Stanford offices.

4. For any particular litigation matter, there can be a range of fees that are reasonable, customary, and necessary. It is my opinion, the attorney's fees charged by the Examiner and the lawyers and other employees assisting me at Little Pedersen Fankhauser L.L.P. fall within that range. Therefore, it is my opinion that the attorneys' fees incurred in this case through the dates shown on the statement attached hereto as Exhibit 1 are reasonable, customary, and necessary when judged by the applicable standard for fees and related expenses. It is also my opinion that the number of hours expended and the hourly rates charged by the attorneys and others in this case were reasonable, customary, and necessary.

5. As directed by the Court, I and the lawyers assisting me have "avoid[ed], to the greatest extent possible, duplication of activities or efforts conducted by the Receiver, except as necessary to present the interests of the Investors to the Court that would not otherwise be presented, as the Examiner in his sole discretion [has seen] fit."

6. In considering the reasonableness of the attorneys' fees in this case, I base my opinion on the factors and analysis identified by the Fifth Circuit in *Johnson v. Georgia Highway Express, Inc.*, 488 F.2d 714 (5th Cir.1974) ("*Johnson*"), Rule 1.04 of the Texas Disciplinary Rules of Professional Conduct, and my 25+ years of practice. The *Johnson* factors include:

(1) time and labor required; (2) novelty and difficulty of the issues; (3) required skill; (4) whether other employment is included; (5) the customary fee; (6) whether the fee is fixed or contingent; (7) time limitations; (8) the amount involved and the results obtained; (9) the attorneys' experience, reputation and ability; (10) the "undesirability" of the case; (11) the nature and length of the professional relationship with the client; and (12) awards in similar cases

Johnson, 488 F.2d at 717-19.

7. My opinions are based on the facts and circumstances of this case, the analysis and factors cited above, and my experience, as well as my review of the time records of Little Pedersen Fankhauser L.L.P., the pleadings, motions, and briefs filed in this case.

8. A copy of my current *curriculum vitae* is attached to this declaration as Exhibit 2.

9. My firm is a relatively small one, consisting of six partners, one associate, and five staff members. All of my partners have practiced law in Dallas, Texas for twenty years or more, and have a broad range of expertise and experience.

10. I asked several lawyers in my firm to assist me in discharging my duties as Examiner. Those lawyers are Robert R. Little, Fred C. Pedersen, Walter G. Pettey, III, Stephen G. Gleboff, and Megan K. Dredla. Copies of each lawyers' current *curriculum vitae* is attached to this declaration as Exhibit 3. I have summarized below the general

areas in which each of these lawyers assisted me during the period covered by the attached invoice.

a. Robert R. Little is a partner in my firm and a corporate and business lawyer with over thirty years of experience. At my request, he has been primarily responsible for the day-to-day communications between my office and individual Investors around the world and has helped those Investors to understand the proceedings in which they find themselves involved, the various Orders entered by the Court, the Receiver's account review and release process, and other matters pertinent to their investments.

b. Fred C. Pedersen is a partner in my firm and a corporate and business lawyer with over thirty years of experience and with particular expertise in international business transactions. At my request, he has been primarily responsible for the work required to review, research and respond to the Petition for Recognition filed by the Antiguan Liquidators. In addition, he has provided invaluable assistance with respect to the legal issues involved in the Receiver's "claw back" claims, the various motions to intervene that have been filed concerning letters of credit and secured lenders, and the analysis of certain investment partnerships marketed by the Stanford entities.

c. Walter G. "Bob" Pettey, III, is a partner in my firm and a commercial litigator with over thirty years of experience. He has assisted Mr. Pedersen in the preparation of the various briefs that the Examiner has filed with respect to the Antiguan Liquidators' Petition for Recognition and has been responsible for assisting me in the review and analysis of the various motions and briefs that are filed in this matter on a weekly basis.

d. Stephen G. Gleboff is a partner in my firm and a commercial litigator with over twenty years of experience. He was primarily responsible for the Examiner's response to Mr. Stanford's request to modify the Court's injunction to release \$10 million for his defense costs. He has also assisted me in the preparation and review of other filings in this matter, including the Examiner's Report and Recommendation No. 1.

e. Megan K. Dredla is an associate employed by my firm and a commercial litigator who has practiced for four years. She has assisted me by researching and reviewing various matters, including the jurisdictional issues raised by Mr. Stanford's request for legal fees and the legal issues presented by the process the Receiver seeks to use to sell real property owned by the Receivership estate.

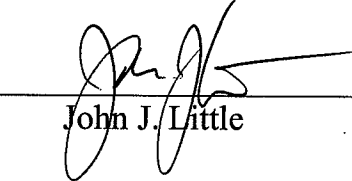
11. In addition to the lawyers I have identified in the preceding paragraph, I have also been assisted by Stephanie A. Zaleskin, an experienced paralegal employed by my law firm, and by Cynthia N. Stanley and Katherine S. Vandiver, both of whom are experienced legal assistants and administrators employed by my law firm. Ms. Zaleskin helped the Examiner move up a rather steep learning curve in this matter, as she was primarily responsible for obtaining via the PACER system and organizing the several hundred filings that preceded my appointment. Ms. Stanley created, maintains and updates the website that I established to facilitate communications with Stanford Investors and also established the distinct email addresses, telephone number and voice mail system that I have used to communicate with Investors. Ms. Vandiver is responsible for updating the database that I have been building to track the individual Investors and counsel with whom I have had contact, including both contact information and data

concerning the various types of investments and accounts they maintained at Stanford.

12. I have practiced with each of the individuals identified in the foregoing paragraphs for a significant amount of time, and was familiar with the particular skills, experience and expertise that each could apply to the tasks I have assigned to them. I personally supervised the work done by each of these individuals, believe that each handled the various tasks assigned to them professionally, skillfully and efficiently, and that the fees charged by each of them are reasonable, customary, and necessary.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on July 15, 2009.



John J. Little

EXAMINER'S DECLARATION

Exhibit 1

Little Pedersen Fankhauser, L.L.P. Invoice
April 20 through June 30, 2009

Little Pedersen Fankhauser L.L.P.

901 Main Street
Suite 4110
Dallas, Texas 75202

Phone: 214/573-2300
Fax: 214/573-2323

July 9, 2009

Inv #: 19438
File #: 2836

John J. Little
901 Main Street, Suite 4110
Dallas, Texas 75202

Attention: John J. Little

RE: Stanford Financial Receivership

Total Invoice	\$277,487.15
Previous Balance	\$0.00
Payments Received	<u>\$0.00</u>
Balance Due	\$277,487.15

If there is a Balance Due, please reference File No. and Invoice No. on your check.

Payable Upon Receipt

Little Pedersen Fankhauser LLP Taxpayer ID 75-2516631

Little Pedersen Fankhauser L.L.P.

901 Main Street
 Suite 4110
 Dallas, Texas 75202

Phone: 214/573-2300
 Fax: 214/573-2323

July 9, 2009

Inv #: 19438
 File #: 2836

John J. Little
 901 Main Street, Suite 4110
 Dallas, Texas 75202

Attention: John J. Little

RE: Stanford Financial Receivership

Fee Description		Hours	Amount	Atty
Apr-20-09	Review Order	0.20	\$90.00	JJL
	Review Notice.	0.20	\$90.00	JJL
	Telephone conference with Bart Wulff.	0.20	\$90.00	JJL
	Office conference with Megan Dredla.	0.20	\$90.00	JJL
	Review various messages from investors and counsel.	0.40	\$180.00	JJL
	Review filings received via PACER.	0.30	\$135.00	JJL
	Office conferences with John Little regarding appointment as examiner.	0.90	\$234.00	MKD
	Research regarding John Little appointment as examiner.	1.00	\$260.00	MKD
	Office conference with John Little regarding PACER documents.	0.20	\$52.00	MKD
Apr-21-09	Email correspondence with Baker & Botts.	0.20	\$90.00	JJL
Apr-22-09	Review various voice mail messages from Stanford investors.	0.40	\$180.00	JJL
	Review messages from Baker Botts.	0.30	\$135.00	JJL
	Review motions.	1.00	\$450.00	JJL
	Telephone conference with receiver's counsel, Tim Durst, regarding various motions for purpose of meeting conference requirement.	0.40	\$180.00	JJL
	Review emails from Mike Quilling.	0.40	\$180.00	JJL

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description			Amount	Atty
	Review various emails from investors.	0.50	\$225.00	JJL
Apr-23-09	Review filings received via PACER.	1.00	\$450.00	JJL
	Review email correspondence received from counsel and investors.	0.60	\$270.00	JJL
	Review voice mail messages from investors.	0.40	\$180.00	JJL
Apr-24-09	Telephone conference with Tim Durst.	0.30	\$135.00	JJL
	Office conference with Bob Pettey re Chapter 15 issues.	0.30	\$135.00	JJL
	Email correspondence with Robert Little re investor contact issues.	0.40	\$180.00	JJL
	Office conference with Fred Pedersen re Chapter 15 issues.	0.70	\$315.00	JJL
	Telephone conference with David Bryant.	0.50	\$225.00	JJL
	Email correspondence with various investors.	0.80	\$360.00	JJL
	Exchange emails with John Little regarding Examiner appointment and responsibilities and review Receiver's web site, Court orders and other background information.	0.60	\$270.00	RRL
	Review Bankruptcy Code Chapter 15 issues in light of motion of Antiguan Liquidators.	0.80	\$360.00	FCP
	E-mail John Little and Bob Pettey as to Chapter 15.	0.10	\$45.00	FCP
	Discuss Chapter 15 issues with John Little.	0.20	\$90.00	FCP
	Review materials regarding Antiguan Liquidator's Chapter 15 motions; review receivership papers and background materials.	2.00	\$900.00	WGP

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description			Amount	Atty
	Office conference with John Little and office conference with Fred Pedersen regarding Antiguan Liquidator's Chapter 15 motions.	0.30	\$135.00	WGP
Apr-25-09	Check status of US-Antigua bilateral treaties with possible implications as to foreign receiver intervention and look into conflicts issues regarding same.	0.60	\$270.00	FCP
	Review sources regarding local law in Antigua as to intervention there.	0.20	\$90.00	FCP
	E-mails to John Little and Bob Pettey.	0.10	\$45.00	FCP
	Review UNCITAL convention regarding international insolvency matters.	0.20	\$90.00	FCP
Apr-27-09	Review account release motion, order and forms.	1.30	\$585.00	JJL
	Telephone conference with SEC counsel.	0.40	\$180.00	JJL
	Organize investor communications.	0.30	\$135.00	JJL
	Review Receiver's report.	2.00	\$900.00	JJL
	Office conference with Robert Little regarding investor communications.	0.30	\$135.00	JJL
	Review investor emails.	0.30	\$135.00	JJL
	Respond to investor emails.	0.40	\$180.00	JJL
	Meet with John Little to discuss communication with investors.	1.00	\$450.00	RRL
	Office conference with John Little regarding Chapter Fifteen and international issues in connection with receivership and intervention by Antiguan receiver.	0.30	\$135.00	FCP
	Review PACER docket sheet for relevant filings.	0.60	\$156.00	MKD
	Office conference with Stephanie Zaleskin regarding PACER docket.	0.10	\$26.00	MKD

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description			Amount	Atty
Apr-28-09	Research regarding motion to modify injunction.	0.40	\$180.00	SGG
	Prepare for and attend meeting with Counsel for Claimants (including Steve Malouf, Mike Quilling, Bart Wulff, Craig Florence and others).	3.80	\$1,710.00	JJL
	Office conference with Robert Little.	0.40	\$180.00	JJL
	Review and respond to multiple emails from investors and investor counsel.	2.00	\$900.00	JJL
	Telephone conference with Mike Quilling.	0.50	\$225.00	JJL
	Email to Kevin Sadler regarding inquiries to the Receiver.	0.30	\$135.00	JJL
	Review voicemails from/relating to, and telephone conversations with/relating to, approximately 8 investors.	1.30	\$585.00	RRL
	Review approximately 30 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	3.30	\$1,485.00	RRL
	Meet with John Little to discuss information obtained from telephone conversations and emails with/relating to investors.	0.40	\$180.00	RRL
	Confer with John Little regarding pending matters in Stanford receivership.	0.20	\$90.00	FCP
	Meeting with John Little and various creditors' counsel.	2.00	\$900.00	WGP
	Review materials regarding creditors' claim; research regarding Chapter 15 proceeding and in regard to Bear Stearns.	1.50	\$675.00	WGP
	Download pleadings from PACER for attorney review.	0.20	\$35.00	SAZ
Apr-29-09	Attend status meeting with firm members.	0.40	\$180.00	SGG
	Research regarding motion to modify.	0.70	\$315.00	SGG
	Review correspondence from investors.	0.80	\$360.00	JJL

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description		Amount	Atty
Email to LPF team.	0.20	\$90.00	JJL
Meeting with team to discuss tasks and assignments; prepare for meeting with Receiver.	0.50	\$225.00	JJL
Catalog calls and email correspondence with investors and investor counsel.	3.40	\$1,530.00	JJL
Office conference with Megan Dredla.	0.20	\$90.00	JJL
Office conference with Steve Gleboff; office conference with Fred Pedersen and Bob Pettay regarding Chapter 15 issues.	0.50	\$225.00	JJL
Review website text.	0.40	\$180.00	JJL
Email correspondence with Stanford Victims Coalition.	0.30	\$135.00	JJL
Telephone conference with Receiver's counsel (Tim Durst and Kevin Sadler).	0.40	\$180.00	JJL
Email correspondence with Bart Wulff.	0.30	\$135.00	JJL
Review updates and additional background information.	0.60	\$270.00	RRL
Review voicemails from/relating to, and telephone conversations with/relating to, approximately 4 investors.	1.90	\$855.00	RRL
Review approximately 11 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	0.80	\$360.00	RRL
Meet with John Little and other personnel in order to discuss process, protocols and assignments.	1.20	\$540.00	RRL
Research regarding position of Examiner as to recognition of Antiguan proceeding as main or non main proceeding.	2.00	\$900.00	FCP
Office conference with John Little regarding Chapter 15 issues.	0.20	\$90.00	FCP

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description		Amount	Atty
	Status meeting.	0.40	\$180.00 WGP
	Meeting with Fred Pedersen and John Little regarding Chapter 15 issues.	0.50	\$225.00 WGP
	Office conference with John Little regarding status of necessary tasks and strategy.	0.60	\$156.00 MKD
	Office conference with John Little regarding Pre-War Art litigation.	0.20	\$52.00 MKD
	Team meeting.	0.50	\$87.50 SAZ
	Conference with John Little regarding web site and voice mail needs to communicate with Stanford investors.	0.50	\$50.00 CNS
	Conference with web host company regarding options and limitations of web site.	0.20	\$20.00 CNS
	Create new page on web site for Stanford Examiner and upload current information.	0.70	\$70.00 CNS
	Begin creation of email profile for Stanford Examiner.	0.30	\$30.00 CNS
Apr-30-09	Attention to brief regarding motion to modify injunction.	1.30	\$585.00 SGG
	Travel to and from Stanford offices in Houston; meeting with Receiver, Receiver's counsel and Receiver's staff; conference calls with Receiver, counsel and SEC (Rose Romero).	10.00	\$4,500.00 JJJ
	Prepare and/or revise language for Examiner's web site	0.70	\$315.00 RRL
	Review approximately 32 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	6.30	\$2,835.00 RRL
	Continue research as to position to be taken by Examiner as to U.S. courts' recognition of Antiguan proceeding.	2.30	\$1,035.00 FCP
	E-mails to John Little and Bob Pettey regarding issues in connection with Chapter 15 petition.	0.30	\$135.00 FCP

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description	Amount	Atty
Work on initial draft of Chapter 15 position paper including research regarding Chapter 15 proceedings and review of e-mails regarding same.	1.00	\$450.00
Download pleadings/motions from PACER.	1.50	\$262.50
Web site revisions, add links and upload documents.	0.60	\$60.00
Enter data regarding Stanford investors into database.	2.30	\$230.00
Work with technical support to complete creation of Stanford Examiner email account, configure account and computers for John Little, Robert Little and Susie Vandiver to have full rights to Stanford Examiner email account.	1.50	\$150.00
Create and test voice mail account for Stanford Examiner.	0.30	\$30.00
Update website with new information from John Little.	0.50	\$50.00
Review the flow of emails coming directly to the Stanford Examiner email profile as well as emails coming from the firm's "Contact Us" page.	0.50	\$50.00
May-01-09 Research and prepare brief on motion to modify injunction.	4.30	\$1,935.00
Office conference with John Little regarding brief on motion to modify injunction.	0.40	\$180.00
Office conference with Steve Gleboff relating to Stanford motion and Court's jurisdiction.	0.40	\$180.00
Office conference with Bob Pettey and Fred Pedersen regarding Chapter 15 issues.	0.80	\$360.00
Telephone conference with Malcolm Lovett.	0.30	\$135.00
Telephone conference with counsel to the Antigua Liquidator.	0.80	\$360.00

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437
File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description		Amount	Atty
Emails to various investor counsel.	1.00	\$450.00	JJL
Review emails and voice mails from investors.	0.40	\$180.00	JJL
Review docket to check current filings.	0.40	\$180.00	JJL
Review voicemails from/relating to, and telephone conversations with/relating to, approximately 10 investors.	1.20	\$540.00	RRL
Review approximately 36 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	3.90	\$1,755.00	RRL
Meet with John Little to discuss information obtained from telephone conversations and emails with/relating to investors.	0.20	\$90.00	RRL
Review UNCITRAL model law regarding Chapter 15.	1.00	\$450.00	FCP
Office conference with Bob Pettey and John Little.	0.20	\$90.00	FCP
Work on preparation of brief as to Chapter 15 issues.	1.60	\$720.00	FCP
Office conference with Fred Pedersen and John Little regarding Chapter 15 issues.	0.80	\$360.00	WGP
Review briefing schedule and continued work on Chapter 15 position paper.	1.20	\$540.00	WGP
Office conferences with Steve Gleboff regarding jurisdictional issues related to appeal of a preliminary injunction.	0.20	\$52.00	MKD
Revise brief regarding Examiner's position on Stanford request for modification of preliminary injunction.	0.40	\$104.00	MKD
Enter data into Stanford Investor database.	4.00	\$400.00	KSV

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description			Amount	Atty
May-03-09	Download pleadings/motions from PACER.	2.50	\$437.50	SAZ
	Enter data into Stanford Investor database.	2.00	\$200.00	KSV
May-04-09	Attention to authorities cited in brief.	0.40	\$180.00	SGG
	Review release lists from Receiver.	0.50	\$225.00	JJL
	Meeting with Fred Pedersen, Bob Franke, Luis Goman relating to Chapter 15 issues.	2.00	\$900.00	JJL
	Review priority review lists.	0.30	\$135.00	JJL
	Review SEC Brief re attorneys' fee issue.	0.40	\$180.00	JJL
	Prepare for meeting with Stanford Victims Coalition.	1.00	\$450.00	JJL
	Meeting with Stanford Victims Coalition.	2.50	\$1,125.00	JJL
	Review letter from Snyder.	0.30	\$135.00	JJL
	Office conference with Bob Pettey.	0.30	\$135.00	JJL
	Telephone conference with Malcolm Lovett.	0.30	\$135.00	JJL
	Email to investors.	1.70	\$765.00	JJL
	Draft update to website.	0.30	\$135.00	JJL
	Email correspondence with Stanford Victims Coalition.	0.40	\$180.00	JJL
	Review voicemails from/relating to, and telephone conversations with/relating to, approximately 4 investors.	1.10	\$495.00	RRL
	Review approximately 54 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	5.90	\$2,655.00	RRL
	Meet with Strasburger attorneys and John Little regarding Chapter 15 issues.	1.00	\$450.00	FCP

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description		Amount	Atty
	Study Chapter 15 decisions and work on brief.	2.00	\$900.00 FCP
	Review SEC amended complaint and associated documentation.	0.60	\$270.00 FCP
	Office conference with John Little regarding Chapter 15 Motion.	0.30	\$135.00 WGP
	Download pleadings/motions from PACER.	1.00	\$175.00 SAZ
May-05-09	Review daily lists from Receiver relating to released accounts, priority review accounts.	0.60	\$270.00 JJL
	Email to Phil Preis.	0.20	\$90.00 JJL
	Telephone conference with Malcolm Lovett.	0.20	\$90.00 JJL
	Office conference with Fred Pedersen.	0.20	\$90.00 JJL
	Email correspondence with Bart Wulff.	0.20	\$90.00 JJL
	Review letter from Mike Quilling.	0.20	\$90.00 JJL
	Email correspondence with Scott Gladden.	0.30	\$135.00 JJL
	Email correspondence with Mark Horn.	0.30	\$135.00 JJL
	Email correspondence with Kevin Sadler.	0.30	\$135.00 JJL
	Email correspondence with Malcolm Lovett.	0.30	\$135.00 JJL
	Office conference with Robert Little.	0.40	\$180.00 JJL
	Review Chapter 15 filings.	0.60	\$270.00 JJL
	Telephone conference with Tony Davis relating to account procedure modifications and partial releases.	0.30	\$135.00 JJL
	Prepare for meeting.	0.30	\$135.00 JJL
	Meeting with Wes Loegering, Greg Waselka, Bob Pettey and Fred Pedersen.	1.00	\$450.00 JJL

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description		Amount	Atty
Office conference with Bob Pettey.	0.30	\$135.00	JJL
Review of investor emails.	0.30	\$135.00	JJL
Review of emails from investor counsel.	0.40	\$180.00	JJL
Review voicemails from/relating to, and telephone conversations with/relating to, approximately 2 investors.	0.50	\$225.00	RRL
Review approximately 37 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	5.80	\$2,610.00	RRL
Meet with Wes Loegering and Greg Weselka, attorneys for Antiguan liquidator.	1.40	\$630.00	FCP
Office conference with John Little and Pettey regarding brief of Examiner.	0.30	\$135.00	FCP
Review pleadings regarding Motion to Compel in respect of Hunton & Williams.	0.50	\$225.00	FCP
Study Petition for Recognition and associated documents.	0.90	\$405.00	FCP
Meeting with John Little, Fred Pedersen and counsel for liquidators.	1.00	\$450.00	WGP
Office conference with John Little.	0.30	\$135.00	WGP
Revise Chapter 15 position paper and research regarding same.	0.60	\$270.00	WGP
Review correspondence from E. Snyder and chronology prepared by Victims Coalition.	0.40	\$180.00	WGP
Office conferences with John Little and Robert Little regarding frequently asked questions for examiner website.	0.60	\$156.00	MKD
Gather pleadings/motions for website; confer regarding database matters.	1.00	\$175.00	SAZ

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description			Amount	Atty
	Enter investor information into database	2.00	\$200.00	KSV
	Update website with new information and documents.	0.70	\$70.00	CNS
May-06-09	Review email correspondence from investors and counsel.	0.40	\$180.00	JJL
	Review Receiver's lists relating to account releases and account review.	0.50	\$225.00	JJL
	Telephone conference with David Bryant.	0.40	\$180.00	JJL
	Telephone conference with Bart Wulff.	0.40	\$180.00	JJL
	Review applications.	0.40	\$180.00	JJL
	Telephone conference with Mr. Kajani (investor).	0.30	\$135.00	JJL
	Email Correspondence with Bart Wulff, Al Davis, Steve Malouf and Kevin Sadler.	0.80	\$360.00	JJL
	Review injunction issues relating to Malouf's motion.	1.00	\$450.00	JJL
	Telephone conference with Mike Quilling.	0.50	\$225.00	JJL
	Telephone conference with Paul Pries.	0.50	\$225.00	JJL
	Telephone conference with Bob Franke.	0.30	\$135.00	JJL
	Office conference with Bob Pettet and Fred Pedersen.	0.50	\$225.00	JJL
	Review voicemails from/relating to, and telephone conversations with/relating to, approximately 2 investors.	0.60	\$270.00	RRL
	Review approximately 27 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	4.20	\$1,890.00	RRL
	Work on Brief of Examiner regarding Motion to Refer.	2.50	\$1,125.00	FCP
	Review Receiver Janvey's Antigua filings.	1.50	\$675.00	FCP

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description		Amount	Atty
Study Antigua Business Corporation Act Money Laundering Prevention Act and other relevant laws.	0.50	\$225.00	FCP
Review Chapter 15 decisional authority.	0.50	\$225.00	FCP
Finalize and distribute draft Chapter 15 document for review.	0.30	\$135.00	WGP
Office conference with John Little and Fred Pedersen.	0.50	\$225.00	WGP
Enter data into Investor database.	2.20	\$220.00	KSV
May-07-09 Review and respond to emails from counsel.	0.70	\$315.00	JJL
Telephone conference with Malcolm Lovett.	0.30	\$135.00	JJL
Further email correspondence with counsel.	1.00	\$450.00	JJL
Revise Brief regarding Chapter 15 Equipment issues.	0.50	\$225.00	JJL
Office conference with Fred Pedersen.	0.40	\$180.00	JJL
Review and respond to requests for information.	1.00	\$450.00	JJL
Telephone conference with Kevin Sadler.	0.30	\$135.00	JJL
Review voicemails from/relating to, and telephone conversations with/relating to, approximately 3 investors.	0.80	\$360.00	RRL
Review voicemails from/relating to, and telephone conversations with/relating to, approximately 3 investors.	2.80	\$1,260.00	RRL
Review and comment on draft motion.	1.10	\$495.00	FCP
Review Chapter 15 position paper revisions and office conference with Fred Pedersen and John Little regarding same.	1.00	\$450.00	WGP
Enter data into Investor database.	1.20	\$120.00	KSV

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description			Amount	Atty
May-08-09	Review updated lists regarding released accounts, priority review and partial releases.	0.60	\$270.00	JJL
	Telephone conference with Robert Little.	0.40	\$180.00	JJL
	Review Stanford brief regarding request for legal fees.	0.80	\$360.00	JJL
	Emails to investors.	0.30	\$135.00	JJL
	Emails to Receiver's staff.	0.40	\$180.00	JJL
	Emails to Ralph Janvey.	0.40	\$180.00	JJL
	Review updated lists regarding released accounts, priority review and partial releases.	0.60	\$270.00	JJL
	Email correspondence with investors and investor counsel.	0.40	\$180.00	JJL
	Office conference with staff	0.40	\$180.00	JJL
	Email to Robert Little.	0.30	\$135.00	JJL
	Email to Mike Quilling.	0.30	\$135.00	JJL
	Telephone conference with Ralph Janvey.	0.40	\$180.00	JJL
	Office conference with Fred Pedersen.	0.30	\$135.00	JJL
	Email correspondence with investors and investor counsel.	0.40	\$180.00	JJL
	Review voicemails from/relating to, and telephone conversations with/relating to, approximately 2 investors.	0.50	\$225.00	RRL
	Review approximately 15 emails from/relating to investors and investigate/provide responses thereto as appropriate.	4.70	\$2,115.00	RRL
	Review Fundora decision.	0.80	\$360.00	FCP
	Review John Little e-mail and discuss implications of Fundora with John Little.	0.70	\$315.00	FCP

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description		Amount	Atty
	Review authorities regarding conflicting receivers/liquidators.	1.80	\$810.00 FCP
	Review decisions from Eastern Caribbean Supreme Court in Fundora v. SIB; e-mails between John Little and counsel for the Liquidators and Chapter 15 research website.	1.60	\$720.00 WGP
	Enter data into Investor database.	1.30	\$130.00 KSV
	Update website with new information and documents.	0.20	\$20.00 CNS
May-11-09	Review email correspondence from Steve Malouf and Stanford Victims Coalition and respond to same.	0.50	\$225.00 JJL
	Review daily account release reports.	0.50	\$225.00 JJL
	Review media report from BBC relating to Stanford.	0.30	\$135.00 JJL
	Finalize and file responsive brief relating to Chapter 15 petition.	1.00	\$450.00 JJL
	Review Receiver's brief relating to Chapter 15.	0.50	\$225.00 JJL
	Review IRS brief relating to Chapter 15.	0.40	\$180.00 JJL
	Meeting at Krage & Janvey with Ralph Janvey, Kristie Blumenschein, Kevin Sadler, Richard Roper and Joe Cialone.	2.20	\$990.00 JJL
	Review and respond to numerous emails from Stanford investors and investor's counsel.	2.00	\$900.00 JJL
	Review draft statement regarding Stanford Bank CD investments.	0.30	\$135.00 JJL
	Email correspondence with Robert Little.	0.30	\$135.00 JJL
	Review voicemails from/relating to, and telephone conversations with/relating to, approximately 3 investors.	0.70	\$315.00 RRL

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description			Amount	Atty
	Review approximately 32 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	3.10	\$1,395.00	RRL
	Download pleadings/motions from PACER.	2.10	\$367.50	SAZ
	Enter investor data into the Stanford database.	1.00	\$100.00	KSV
May-12-09	Office conferences with Robert Little relating to communications with Stanford investors.	0.60	\$270.00	JJL
	Telephone conference with Stanford brokerage account holder.	0.50	\$225.00	JJL
	Review daily account release reports.	0.60	\$270.00	JJL
	Draft emails to Receiver relating to issues arising from release reports.	0.40	\$180.00	JJL
	Email to SEC relating to scheduled meeting.	0.30	\$135.00	JJL
	Telephone conference with Rose Romero at SEC.	0.50	\$225.00	JJL
	Review materials relating to Antigua proceedings.	2.00	\$900.00	JJL
	Email correspondence with Craig Florence.	0.30	\$135.00	JJL
	Various email correspondence with Receiver relating to release applications filed by individual account holders.	0.50	\$225.00	JJL
	Extended email correspondence with account holder counsel relating to meeting.	0.50	\$225.00	JJL
	Review Receiver's proposed real estate sale procedures.	1.50	\$675.00	JJL
	Review and comment upon Receiver's proposed motion relating to modifications to account release process.	1.50	\$675.00	JJL
	Email correspondence with Kevin Sadler relating to proposed motions (account release and real estate sales).	0.40	\$180.00	JJL

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description			Amount	Atty
	Email correspondence with SEC relating to meeting and agenda for same.	0.40	\$180.00	JJL
	Review voicemails from/relating to, and telephone conversations with/relating to, approximately 6 investors.	1.50	\$675.00	RRL
	Review approximately 16 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	3.10	\$1,395.00	RRL
	Enter investor information into Stanford database.	1.10	\$110.00	KSV
	Update website with new information and links to documents.	0.70	\$70.00	CNS
	Proof entire Stanford Examiner web page, check all links on the page and repair broken links.	0.50	\$50.00	CNS
May-13-09	Review daily account release reports.	0.50	\$225.00	JJL
	Emails to various Stanford account holders relating to account release issues.	0.70	\$315.00	JJL
	Email correspondence with Craig Florence.	0.30	\$135.00	JJL
	Email correspondence with Steve Malouf.	0.30	\$135.00	JJL
	Initial review of Receiver's fee application.	1.00	\$450.00	JJL
	Email correspondence with various investor counsel relating to Receiver's proposal for real estate sales procedure.	1.00	\$450.00	JJL
	Conference call with Ralph Janvey, Kristi Blumenschein, Kevin Sadler and others.	0.70	\$315.00	JJL
	Telephone conference with Rose Romero.	0.40	\$180.00	JJL
	Office conference with Robert Little.	0.30	\$135.00	JJL

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description		Amount	Atty
Download and begin to review fee application back-up data.	1.00	\$450.00	JL
Telephone conference with Jan Chaffin (Jackson Walker).	0.30	\$135.00	JL
Review voicemails from/relating to, and telephone conversations with/relating to, approximately 3 investors.	0.60	\$270.00	RRL
Review approximately 14 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	4.40	\$1,980.00	RRL
Review materials from Liquidator's counsel.	0.40	\$180.00	FCP
Office conference with John Little.	0.30	\$135.00	FCP
Retrieve document from PACER for attorney review.	0.10	\$17.50	SAZ
Enter investor data into the Stanford database.	3.00	\$300.00	KSV
May-14-09 Finalize text for website relating to CD investments.	0.30	\$135.00	JL
Telephone conference with Malcolm Lovett.	0.20	\$90.00	JL
Review materials from various Stanford investors.	0.30	\$135.00	JL
Office conference with Fred Pedersen relating to Chapter 15 issues.	0.40	\$180.00	JL
Review materials relating to motion to intervene filed by Bukrinsky, et al.	0.80	\$360.00	JL
Review daily account release information.	0.50	\$225.00	JL
Office conference with Robert Little regarding account release status.	0.30	\$135.00	JL

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description		Amount	Atty
	Travel to and from Fort Worth and attend meeting with SEC (Rose Romero and others) and Receiver (Ralph Janvey, Kristie Blumenschein, and Kevin Sadler).	2.20	\$990.00 JJJ
	Review voicemails from/relating to, and telephone conversations with/relating to, approximately 3 investors.	1.00	\$450.00 RRL
	Review approximately 4 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	2.10	\$945.00 RRL
	Prepare language for inclusion on Examiner's web site and in other communications.	1.20	\$540.00 RRL
	Confer with John Little concerning position on Chapter 15 intervention.	0.50	\$225.00 FCP
	Review Chapter 15 filings made by various parties.	3.00	\$1,350.00 FCP
	Review materials regarding Antiguan proceedings.	0.70	\$315.00 FCP
	Enter investor data into Stanford investor database.	0.90	\$90.00 KSV
May-15-09	Telephone conference with Malcolm Lovett.	0.30	\$135.00 JJJ
	Telephone conference with Ralph Janvey.	0.40	\$180.00 JJJ
	Prepare for meeting with investor counsel.	0.40	\$180.00 JJJ
	Meeting with Mike Quilling, Brent Rodine, Steve Malouf and Craig Florence.	2.20	\$990.00 JJJ
	Review SEC v. George.	0.30	\$135.00 JJJ
	Office conference with Fred Pedersen re clawback claims.	0.30	\$135.00 JJJ
	Multiple telephone conferences with Kristie Blumenschein.	1.00	\$450.00 JJJ
	Email with investor counsel.	0.30	\$135.00 JJJ

29

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description		Amount	Atty
Conference call with Kristie Blumenschein, Kevin Sadler, Tony Davis.	0.80	\$360.00	JJL
Telephone conference with Donna Hocker.	0.20	\$90.00	JJL
Begin research re clawback claims.	0.30	\$135.00	JJL
Review voicemails from/relating to, and telephone conversations with/relating to, approximately 5 investors.	1.20	\$540.00	RRL
Review approximately 4 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	1.90	\$855.00	RRL
Discuss Receiver Janvey's clawback position with John Little.	0.60	\$270.00	FCP
Review applicable law as to clawbacks.	2.50	\$1,125.00	FCP
Commence preparation of draft language for inclusion in brief.	1.30	\$585.00	FCP
Download motions/pleadings from PACER.	0.40	\$70.00	SAZ
Enter data into Stanford investor database.	1.00	\$100.00	KSV
May-16-09 Review and respond to emails from Stanford investors.	0.60	\$270.00	JJL
Email correspondence with Kevin Sadler.	0.40	\$180.00	JJL
Review and comment upon motion to modify release process, proposed order granting motion, and proposed stipulation.	1.50	\$675.00	JJL
Work on brief as to disgorgement.	5.20	\$2,340.00	FCP
May-17-09 Work on brief with respect to disgorgement issue.	3.00	\$1,350.00	FCP
May-18-09 Office conference with Robert Little relating to investor communications.	0.30	\$135.00	JJL
Review and respond to emails from various Stanford investors and their counsel.	0.70	\$315.00	JJL

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description		Amount	Atty
	Review voicemails from/relating to, and telephone conversations with/relating to, approximately 3 investors.	0.90 \$405.00	RRL
	Review approximately 5 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	5.00 \$2,250.00	RRL
	Continue to analyze authorities regarding requirement of disgorgement in connection with SEC receiverships.	2.20 \$990.00	FCP
	Enter data into Stanford investor database.	0.70 \$70.00	KSV
May-19-09	Office conference with Robert Little relating to investor communications.	0.30 \$135.00	JJL
	Review and respond to various investor emails.	0.40 \$180.00	JJL
	Various telephone conferences with Kristi Blumenschein.	0.40 \$180.00	JJL
	Begin work on Examiner's Report No. 1.	0.90 \$405.00	JJL
	Review voicemails from/relating to, and telephone conversations with/relating to, approximately 3 investors	1.10 \$495.00	RRL
	Review approximately 56 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	5.00 \$2,250.00	RRL
	Office conference with Robert Little regarding interpretations of Stanford account statement.	0.20 \$90.00	FCP
	Enter investor data into the Stanford investor database.	0.70 \$70.00	KSV
May-20-09	Email correspondence with various Stanford investors.	0.40 \$180.00	JJL
	Review daily account release reports.	0.60 \$270.00	JJL
	Extended email correspondence with Kristie Blumenschein.	0.40 \$180.00	JJL
	Office conference with Bob Pettey regarding fee application and response to same.	0.30 \$135.00	JJL

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description		Amount	Atty
	Telephone conference with Rose Romero.	0.30	\$135.00 JJJ
	Email correspondence with Mike Quilling.	0.20	\$90.00 JJJ
	Email correspondence with Jan Chaffin, investor counsel.	0.30	\$135.00 JJJ
	Telephone conference with Kristie Blumenschein.	0.30	\$135.00 JJJ
	Work on drafting Examiner's Report and Recommendation No. 1.	7.70	\$3,465.00 JJJ
	Review voicemails from/relating to, and telephone conversations with/relating to, approximately 2 investors.	0.70	\$315.00 RRL
	Review approximately 18 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	4.20	\$1,890.00 RRL
	Respond to e-mails regarding scheduling and receivership issues.	0.20	\$90.00 FCP
	Review Receiver's fee application.	0.60	\$270.00 WGP
	Office conference with John Little regarding fee application.	0.30	\$135.00 WGP
	Enter investor data into the Stanford investor database.	0.80	\$80.00 KSV
May-21-09	Review report of Examiner No. 1.	0.50	\$225.00 SGG
	Prepare for meeting with Stanford Victims Coalition.	0.30	\$135.00 JJJ
	Further drafting of Examiner's Report and Recommendation No. 1 and research relating to same.	4.30	\$1,935.00 JJJ
	Meeting with representatives of Stanford Victims Coalition.	3.00	\$1,350.00 JJJ
	Finalize and file Examiner's Report and Recommendation No. 1.	0.30	\$135.00 JJJ
	Email correspondence with Stanford Victims Coalition.	0.20	\$90.00 JJJ

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description			Amount	Atty
	Review and comment upon summary of meeting with Stanford Victims Coalition.	0.40	\$180.00	JJL
	Initial review of account release motion relating to Stanford Trust accounts.	0.50	\$225.00	JJL
	Email correspondence with Mike Quilling.	0.10	\$45.00	JJL
	Email correspondence with Ralph Janvey.	0.20	\$90.00	JJL
	Review voicemails from/relating to, and telephone conversations with/relating to, approximately 3 investors.	0.90	\$405.00	RRL
	Review approximately 17 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	2.90	\$1,305.00	RRL
	Enter investor information into Stanford investor database.	0.70	\$70.00	KSV
May-22-09	Review stipulation and order and email to Kevin Sadler re same.	0.40	\$180.00	JJL
	Review daily account release data.	0.30	\$135.00	JJL
	Draft updated text for website.	1.00	\$450.00	JJL
	Office conference with Megan Dredla relating to fee application.	0.30	\$135.00	JJL
	Draft first supplement to Examiner's Report and Recommendation No. 1.	1.00	\$450.00	JJL
	Email correspondence with Mike Quilling.	0.20	\$90.00	JJL
	Review email correspondence from investors.	0.40	\$180.00	JJL
	Telephone conference with Ernest Leonard re SIPC.	0.50	\$225.00	JJL
	Review voicemails from/relating to, and telephone conversations with/relating to, approximately 2 investors.	0.60	\$270.00	RRL

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description			Amount	Atty
	Review approximately 14 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	2.10	\$945.00	RRL
	Create new database to identify investors on the Stipulated Partial Release list.	1.00	\$100.00	KSV
	Enter investor information into the Stanford investor database.	0.80	\$80.00	KSV
May-23-09	Email correspondence and telephone conference with Rose Romero.	0.50	\$225.00	JJL
May-26-09	Review daily account release reports.	1.00	\$450.00	JJL
	Review and respond to investor emails and inquiries; research regarding inquiries.	1.60	\$720.00	JJL
	Review new filings via PACER.	1.10	\$495.00	JJL
	Email correspondence with Receiver re account status.	0.60	\$270.00	JJL
	Review investor correspondence and respond to same.	1.10	\$495.00	JJL
	Email correspondence with Greg Waselka.	0.50	\$225.00	JJL
	Email correspondence with investor counsel.	0.30	\$135.00	JJL
	Office conference with Robert Little re responses to investor inquiries.	0.50	\$225.00	JJL
	Email correspondence with Brett Rodine regarding various investors.	0.70	\$315.00	JJL
	Review email regarding Stanford Trust; research materials re Stanford Trust; respond to same.	1.50	\$675.00	JJL
	Email to Lloyds London representative.	0.30	\$135.00	JJL
	Review voicemails from/relating to, and telephone conversations with/relating to, approximately 2 investors.	0.80	\$360.00	RRL

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description			Amount	Atty
	Review approximately 23 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	3.70	\$1,665.00	RRL
	Review materials regarding Joint Status Report.	0.40	\$180.00	WGP
	Update website with current information and links to documents.	0.50	\$50.00	CNS
	Create bullet list for headings and add paragraph anchors for easier navigation of Stanford Examiner web page.	0.50	\$50.00	CMS
	Enter investor information into the Stanford investor database.	1.00	\$100.00	KSV
May-27-09	Review daily release report lists.	1.10	\$495.00	JJL
	Email correspondence with Brett Rodine relating to account inquiries.	0.40	\$180.00	JJL
	Review overnight mail from investors.	0.50	\$225.00	JJL
	Office conference with Robert Little on partial release issues and investor communications re same.	0.50	\$225.00	JJL
	Email correspondence with investors.	1.00	\$450.00	JJL
	Telephone conference with Greg Blue.	0.80	\$360.00	JJL
	Telephone conference with Kristie Blumenschein.	0.30	\$135.00	JJL
	Telephone conference with Receiver.	0.30	\$135.00	JJL
	Email to Mike Quilling.	0.30	\$135.00	JJL
	Extended email correspondence with Receiver relating to various issues.	1.70	\$765.00	JJL
	Email correspondence with David Bryant.	0.40	\$180.00	JJL
	Review motion to intervene by Susan Stanford.	0.80	\$360.00	JJL

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description		Amount	Atty
Review brief filed by Receiver relating to pending motion for leave.	0.50	\$225.00	JJL
Office conference with Fred Pedersen relating to partnership issues.	0.40	\$180.00	JJL
Review voicemails from/relating to, and telephone conversations with/relating to, approximately 4 investors.	1.40	\$630.00	RRL
Review approximately 8 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	2.70	\$1,215.00	RRL
Office conference with Examiner regarding Stanford limited partnerships (SCM Alternative Income I, L.P. and SCM Beta Partnership, LP).	0.30	\$135.00	FCP
Review Receiver's requested confidentiality agreement regarding same.	0.40	\$180.00	FCP
Preliminary review of form Limited Partnership Agreement.	0.30	\$135.00	FCP
Enter investor information into the Stanford investor database.	0.80	\$80.00	SKV
May-28-09 Review daily release reports.	0.50	\$225.00	JJL
Email correspondence with Stanford Victims Coalition.	0.30	\$135.00	JJL
Email correspondence with investor counsel.	0.30	\$135.00	JJL
Email correspondence with individual investors.	0.40	\$180.00	JJL
Email correspondence with David Bryant and Mark Barrera.	0.20	\$90.00	JJL
Draft updated text for website.	0.80	\$360.00	JJL
Meeting with Fred Pedersen, Bob Pettey, Greg Waselka and Dan Winikka.	2.30	\$1,035.00	JJL
Draft memo to Ralph Janvey and Kristie Blumenschein.	1.00	\$450.00	JJL

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description		Amount	Atty
Review and respond to Examiner e-mail regarding Mexican labor law claims.	0.30	\$135.00	FCP
Prepare for meeting with Antiguan liquidator's counsel regarding Chapter 15 issues.	0.60	\$270.00	FCP
Meet with counsel for Antiguan liquidator.	2.00	\$900.00	FCP
Office conference with Examiner and Bob Pettey regarding open issues in connection with receivership case.	0.30	\$135.00	FCP
Review Glosband affidavit as to Chapter 15 issues.	0.40	\$180.00	FCP
Review investor counsel's e-mails regarding SCM Alternative Income I and consider related partnership agreement.	0.70	\$315.00	FCP
Review Examiner comments on Joint Status report and comment on same.	0.30	\$135.00	FCP
Meeting with John Little, Fred Pedersen and counsel for Liquidator.	2.00	\$900.00	WGP
Meeting with John Little and Fred Pedersen regarding Chapter 15 issue.	0.30	\$135.00	WGP
Enter investor information into the Stanford investor database.	0.30	\$30.00	KSV
Update website with current information, including upload of new documents	0.30	\$30.00	CNS
Proof entire website page, checking all links for accuracy and workability.	0.20	\$20.00	CNS
May-29-09 Review voicemails from/relating to, and telephone conversations with/relating to, approximately 3 investors.	0.90	\$405.00	RRL
Review approximately 24 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	4.40	\$1,980.00	RRL

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description			Amount	Atty
	Read and analyze Offering Circular regarding SCM Beta Partnership.	1.40	\$630.00	FCP
	Compare SCM Beta Offering Circular to same for SCM Alternative Income I, L.P.	0.40	\$180.00	FCP
	Review materials regarding Chapter 15 Joint Status Report.	0.30	\$135.00	WGP
	Enter investor information into the Stanford investor database.	0.50	\$50.00	KSV
May-30-09	Study Limited Partnership Agreement of SCM Alternative Income I, L.P.	2.20	\$990.00	FCP
	Compare Alternative Income agreement to SCM Beta Partnership.	0.60	\$270.00	FCP
May-31-09	Review and respond to emails from investors and counsel.	1.20	\$540.00	JJL
	Review daily account release reports.	0.40	\$180.00	JJL
	Review information from Fred Pedersen relating to partnership investments.	0.30	\$135.00	JJL
	Review Janet Chafin letter re unauthorized trading and forward same to SEC.	0.30	\$135.00	JJL
	Prepare analysis for John Little regarding investor issues with respect to SCM Alternative Income I, L.P. and SCM Beta Partnership.	2.10	\$945.00	FCP
Jun-01-09	Review and respond to investor emails.	0.80	\$360.00	JJL
	Work on response to Bukrinsky motion to intervene	2.00	\$900.00	JJL
	Telephone conference with investor counsel.	0.40	\$180.00	JJL
	Office conference with Robert Little regarding responses to investors.	0.50	\$225.00	JJL

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description		Amount	Atty
Review Receiver's response to Bukrinsky motion.	0.90	\$405.00	JJL
Review SEC response to Bukrinsky motion.	0.50	\$225.00	JJL
Review Hewlett Packard motion to intervene relating to letter of credit issues; email same to Fred Pedersen.	0.90	\$405.00	JJL
Finalize and file response to Bukrinsky motion to intervene.	0.50	\$225.00	JJL
Email correspondence with various Stanford financial advisors.	0.50	\$225.00	JJL
Draft updated text for website and email same to Cindy Stanley.	0.50	\$225.00	JJL
Email correspondence with Investor counsel.	0.50	\$225.00	JJL
Review voicemails from/relating to, and telephone conversations with/relating to, approximately 2 investors.	0.80	\$360.00	RRL
Review approximately 9 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	3.60	\$1,620.00	RRL
Review (i) Motion by HP Financial Services Venezuela to intervene and for order clarifying receivership order and (ii) Amended Receivership Order; e-mails to John Little regarding preliminary conclusions.	1.40	\$630.00	FCP
Review draft of Cooperation Agreement received from counsel to Antiguan liquidator.	0.50	\$225.00	FCP
Review Delaware law regarding information available to limited partners of SCM Alternative Income, LP and SCM Beta Partnership I, LP and e-mail John Little regarding same.	1.80	\$810.00	FCP
Office conference with John Little regarding SCM partnerships.	0.20	\$90.00	FCP
Update data in the Stanford database.	0.30	\$30.00	KSV

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description			Amount	Atty
Jun-02-09	Review daily account release reports.	0.30	\$135.00	JJL
	Email correspondence with Receiver.	0.20	\$90.00	JJL
	Review investor materials.	0.30	\$135.00	JJL
	Revise memorandum to Receiver relating to open issues and email same to Receiver and staff.	0.80	\$360.00	JJL
	Office conference with Robert Little relating to responses to investors.	0.30	\$135.00	JJL
	Email correspondence with various investors and investors counsel.	1.50	\$675.00	JJL
	Email to receiver inquiring as to status of accounts qualifying for release as de minimus accounts.	1.00	\$450.00	JJL
	Review voicemails from/relating to, and telephone conversations with/relating to, approximately 2 investors.	0.50	\$225.00	RRL
	Review approximately 8 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	1.80	\$810.00	RRL
	Further review of issues raised by HP Financial Services Venezuela in its intervention motion.	0.50	\$225.00	FCP
	Review authorities as to letters of credit in bankruptcy and receivership contexts.	1.50	\$675.00	FCP
	Office conference with John Little.	0.10	\$45.00	FCP
	Enter data into the Stanford database.	0.40	\$40.00	KSV
Jun-03-09	Review and reply to email correspondence from investors and investor counsel.	1.00	\$450.00	JJL
	Review daily account release reports.	0.40	\$180.00	JJL
	Email correspondence with Receiver.	0.20	\$90.00	JJL
	Email correspondence with Bill Metzinger.	0.30	\$135.00	JJL

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description		Amount	Atty
Meeting with Fred Pedersen, Luis Goman (Strasburger), Jesse Castillo and Ed Snyder (Castillo &	1.50	\$675.00	JJL
Office conference with Fred Pedersen.	0.50	\$225.00	JJL
Review approximately 11 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	3.00	\$1,350.00	RRL
Prepare for meeting with Latin American investors' counsel by reviewing Mexican, Venezuelan and other local taxation and exchange control laws as to issues relating to Antiguan investments.	0.80	\$360.00	FCP
Review Trustmark National Bank Motion to Intervene and related materials.	1.10	\$495.00	FCP
Meet with counsel for several Mexican investors regarding Chapter 15 issues, intervention issues, and class action claims vs third parties.	1.20	\$540.00	FCP
Confer with John Little as to Examiner's position as to cash and other collateral securing letters of credit and as to intervention by letter of credit issue.	0.60	\$270.00	FCP
Review numerous e-mails and asset and investor lists in connection with Beta and Alternative Income LPs to evaluate Examiner position regarding same.	1.60	\$720.00	FCP
Update data in the Stanford database.	0.20	\$20.00	KSV
Jun-04-09 Review daily account release information.	0.40	\$180.00	JJL
Email correspondence and office conference with Robert Little.	0.30	\$135.00	JJL
Email correspondence with Bill Metzinger.	0.30	\$135.00	JJL
Work on agreed order re form of stipulation.	0.30	\$135.00	JJL
Email to Brett Rodine.	0.20	\$90.00	JJL

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description		Amount	Atty
	Review voicemails from/relating to, and telephone conversations with/relating to, approximately 3 investors.	1.10 \$495.00	RRL
	Review approximately 10 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	3.60 \$1,620.00	RRL
	Study Supplemental Declaration of Vantis Liquidator in connection with Chapter 15 COMI determination; e-mail John Little.	0.60 \$270.00	FCP
	Commence thorough analysis of Supplemental Declaration Chapter 15 UNCITRAL rules and cases and Liquidator's position in respect of Antiguan COMI in preparation for Examiner filing as to COMI.	3.50 \$1,575.00	FCP
	Review proposed cooperation agreement between Receiver and Liquidator, Supplemental Declaration of Liquidator; e-mails regarding same.	1.20 \$540.00	WGP
	Update data in the Stanford database.	0.30 \$30.00	KSV
Jun-05-09	Review daily account release reports.	0.40 \$180.00	JJL
	Email to Ernest Leonard relating to account releases.	0.30 \$135.00	JJL
	Email to Kristi Blumenschein relating to Bill Metzinger.	0.30 \$135.00	JJL
	Review and respond to individual emails from investors and investor counsel.	0.60 \$270.00	JJL
	Email correspondence with David Bryant.	0.30 \$135.00	JJL
	Review email from Receiver.	0.20 \$90.00	JJL
	Review Receiver's response to Examiner's Report No. 1.	0.90 \$405.00	JJL
	Review voicemails from/relating to, and telephone conversations with/relating to, approximately 1 investor.	0.60 \$270.00	RRL
	Review approximately 6 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	1.70 \$765.00	RRL

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description			Amount	Atty
	Finalize analysis for John Little of Liquidator's position on COMI and evidence produced to date.	2.30	\$1,035.00	FCP
	Continue analysis of Beta and Alternative Income Funds and position to be taken by Examiner with respect to same and e-mail John Little with questions for Receiver pertaining to those partnerships.	1.80	\$810.00	FCP
	Review supplemental notes regarding Liquidator's Supplemental Declaration.	0.40	\$180.00	WGP
	Update data in the Stanford database.	0.20	\$20.00	KSV
Jun-06-09	Review Receiver's Response to Examiner's Report and Recommendation No. 1; e-mail John Little with analysis of Receiver's arguments as to disgorgement.	1.20	\$540.00	FCP
Jun-07-09	Review SEC response to Examiner's Report.	0.20	\$90.00	JJL
	Review and respond to investor emails.	0.50	\$225.00	JJL
	Review responses to Examiner's Report filed by Botello's	0.50	\$225.00	JJL
	Draft response to Receiver's motion for approval of process to permit sales of real property.	5.00	\$2,250.00	JJL
	Email correspondence with Bill Metzinger.	0.40	\$180.00	JJL
	E-mails to John Little with suggestions as to response to Receiver's position on claw backs.	0.30	\$135.00	FCP
	Review Botello Responses as to Examiner's Report #1; e-mail John Little regarding same.	0.30	\$135.00	FCP
	Review SEC's Response to Examiner's Report #1; compare to Examiner's Report; e-mail John Little .	0.40	\$180.00	FCP
Jun-08-09	Review emails from investors.	0.20	\$90.00	JJL
	Email correspondence with Bill Metzinger.	0.20	\$90.00	JJL
	Email correspondence with Whit Whitfield.	0.20	\$90.00	JJL

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description		Amount	Atty
Draft revisions to website.	0.20	\$90.00	JJL
Office conference with Megan Dredla regarding property motion.	0.20	\$90.00	JJL
Draft response to Receiver's fee application.	5.50	\$2,475.00	JJL
Finalize and file response to motion for approval of motion relating to property sales	1.00	\$450.00	JJL
Telephone conference with David Reece (SEC).	0.40	\$180.00	JJL
Email correspondence with various Stanford FAs.	0.50	\$225.00	JJL
Letter to Suzanne Johnston.	0.30	\$135.00	JJL
Prepare for meeting with Receiver and staff.	0.30	\$135.00	JJL
Review voicemails from/relating to, and telephone conversations with/relating to, approximately 2 investors.	1.20	\$540.00	RRL
Office conference with John Little regarding Receiver's application for break-up fee for stalking horse bidder in proposed real estate sales.	0.20	\$52.00	MKD
Research regarding standard to evaluate application for and award to break-up fee.	1.90	\$494.00	MKD
Office conference with John Little regarding case law regarding evaluation of break-up fees.	0.20	\$52.00	MKD
Draft motion for permission to file reply brief in excess of page limitations and proposed order; revise same.	1.20	\$312.00	MKD
Office conferences with John Little regarding motion for permission to file reply brief in excess of page limitations and proposed order.	0.20	\$52.00	MKD
Update data into Stanford database.	0.20	\$20.00	KSV

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description			Amount	Atty
Jun-09-09	Initial review of Receiver's response to the Examiner's report.	0.70	\$315.00	JJL
	Office conference with Fred Pedersen relating to partnership issues.	0.30	\$135.00	JJL
	Prepare for meeting with Receiver.	0.30	\$135.00	JJL
	Meeting with Receiver and his staff.	1.50	\$675.00	JJL
	Email to Malcolm Lovett.	0.20	\$90.00	JJL
	Review and respond to emails from various investors and investor counsel.	2.10	\$945.00	JJL
	Initial review of filings relating to Chapter 15 issues.	1.00	\$450.00	JJL
	Review emails from Ed Snyder.	0.20	\$90.00	JJL
	Review Receiver's FAQ re SIPC.	0.40	\$180.00	JJL
	Discuss with John Little response to Receiver's position as to disgorgement.	0.30	\$135.00	FCP
	Website revisions, including uploading of several documents and proofing.	0.40	\$40.00	CNS
	Update data into Stanford database.	0.30	\$30.00	KSV
Jun-10-09	Review daily release lists.	0.20	\$90.00	JJL
	Email correspondence with Malcolm Lovett.	0.20	\$90.00	JJL
	Initial review of Receiver's Chapter 15 filings.	1.00	\$450.00	JJL
	Office conference with Fred Pedersen re Chapter 15 filings.	0.40	\$180.00	JJL
	Telephone conference with Russ Hubbard.	0.50	\$225.00	JJL
	Telephone conference with David Reece.	0.40	\$180.00	JJL

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description		Amount	Atty
Prepare email to Receiver relating to accounts that should be eligible for de minimus release.	0.50	\$225.00	JJL
Review and respond to email communications from various investors and investor counsel	1.30	\$585.00	JJL
Report to John Little concerning Receiver's demand for Confidentiality Agreements as a condition to receipt of information concerning Stanford investment partnerships.	0.80	\$360.00	FCP
Review Receiver's and SEC's Responses in respect of Liquidator's Chapter 15 petition for recognition; e-mail John Little with input.	1.30	\$585.00	FCP
Review Receiver's Chapter 15 papers and SEC's Chapter 15 papers.	1.50	\$675.00	WGP
Update data into Stanford database.	0.20	\$20.00	KSV
Jun-11-09 Extensive email correspondence with various investors and investor counsel.	3.00	\$1,350.00	JJL
Review daily release information.	0.40	\$180.00	JJL
Review motion to reconsider filed by Bart Wulff.	0.40	\$180.00	JJL
Meeting with Richard Roper and Fred Pedersen.	1.50	\$675.00	JJL
Office conference with John Little and Richard Roper (Receiver's counsel) regarding Latin American claimants; discuss issues with John Little.	1.50	\$675.00	FCP
Review papers filed by Receiver and SEC in response to Chapter 15 Petition.	0.80	\$360.00	WGP
Update data into Stanford database.	0.40	\$40.00	KSV
Jun-12-09 Review daily account release information.	0.30	\$135.00	JJL
Review emails from investors and investor counsel.	0.30	\$135.00	JJL
Review receiver's response to motion to compel.	0.20	\$90.00	JJL

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description			Amount	Atty
	Review letters to Receiver from David Bryant.	0.20	\$90.00	JJL
	Draft, revise and file reply brief in support of Examiner's Report and Recommendation No. 1.	7.40	\$3,330.00	JJL
	Research relating to reply brief.	1.00	\$450.00	JJL
	Attention to e-mail correspondence from John Little regarding motion for permission to file reply brief in excess of page limitations and proposed order.	0.20	\$52.00	MKD
	Update data into Stanford database	0.40	\$40.00	KSV
	Create new database from Receiver's daily updates.	2.00	\$200.00	KSV
Jun-15-09	Review daily reports relating to account release process.	0.30	\$135.00	JJL
	Review article from Baton Rouge press.	0.20	\$90.00	JJL
	Email correspondence with various Stanford investors and investor counsel.	1.00	\$450.00	JJL
	Email correspondence with Kevin Sadler.	0.40	\$180.00	JJL
	Review declaration relating to motion to return aircraft to lender.	0.80	\$360.00	JJL
	Office conference with Robert Little relating to investor communications.	0.30	\$135.00	JJL
	Review voicemails from/relating to, and telephone conversations with/relating to, approximately 3 investors.	1.10	\$495.00	RRL
	Review approximately 5 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	1.50	\$675.00	RRL
	Office conference with John Little regarding sale of aircraft; review Receiver's Declaration regarding same; and review background e-mails; respond to John Little with comments regarding letter of credit and bill of sale.	0.70	\$315.00	FCP
	Update data into Stanford database	0.20	\$20.00	KSV

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description			Amount	Atty
Jun-16-09	Review of motion and related materials relating to return of aircraft to lender.	1.50	\$675.00	JJL
	Telephone conferences with counsel for various investors.	0.40	\$180.00	JJL
	Telephone conference with Louisiana Attorney General's office.	0.60	\$270.00	JJL
	Review motion to release coins and related materials.	0.50	\$225.00	JJL
	Office conference with Robert Little relating to process for releasing IRA accounts.	0.30	\$135.00	JJL
	Email correspondence with various investors.	0.70	\$315.00	JJL
	Email correspondence with Stanford financial advisors.	0.30	\$135.00	JJL
	Email correspondence with Mike Quilling.	0.20	\$90.00	JJL
	Review Receiver's response to motion to intervene.	0.40	\$180.00	JJL
	Review Bukrinsky reply brief.	0.40	\$180.00	JJL
	Email correspondence with various investor counsel.	0.40	\$180.00	JJL
	Review approximately 7 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	2.60	\$1,170.00	RRL
	Update data into Stanford database	0.40	\$40.00	KSV
Jun-17-09	Review and respond to email correspondence from various Stanford investors and counsel to investors.	1.60	\$720.00	JJL
	Review of daily account release reports.	0.30	\$135.00	JJL
	Email correspondence with broker relating to account release.	0.40	\$180.00	JJL
	Review materials relating to motion to disqualify Baker Botts.	1.00	\$450.00	JJL

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description			Amount	Atty
	Review Order.	0.20	\$90.00	JJL
	Office conference with Bob Pettey relating to Chapter 15 proceedings.	0.40	\$180.00	JJL
	Telephone conference with Mike Quilling.	0.40	\$180.00	JJL
	Draft and file response to Motion to Stay proceedings.	1.00	\$450.00	JJL
	Office conference with Robert Little relating to motion to disqualify.	0.40	\$180.00	JJL
	Draft update to website.	0.30	\$135.00	JJL
	Review voicemails from/relating to, and telephone conversations with/relating to, approximately 3 investors.	0.80	\$360.00	RRL
	Review approximately 4 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	0.40	\$180.00	RRL
	Review Motions relating to disqualification of Baker & Botts and make recommendations to John Little and discuss same with John Little.	0.80	\$360.00	FCP
	Review papers received from Antiguan liquidators' counsel as to UK proceedings.	0.90	\$405.00	FCP
	Review Liquidator Filings in UK proceedings and SIB marketing materials.	0.60	\$270.00	WGP
	Update data in the Stanford database.	0.40	\$40.00	KSV
Jun-18-09	Review and respond to email correspondence from Stanford Victims Coalition.	0.80	\$360.00	JJL
	Review daily account release information.	0.40	\$180.00	JJL
	Various office conferences with Robert Little relating to investor communications.	0.70	\$315.00	JJL
	Review and respond to email correspondence from investors and investor counsel.	1.30	\$585.00	JJL

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description			Amount	Atty
	Review Receiver's brief relating to motion for leave to sue in another jurisdiction.	0.40	\$180.00	JJL
	Prepare spreadsheet and extended email correspondence to Receiver relating to de minimus release of accounts.	0.80	\$360.00	JJL
	Extended email exchange with Kevin Sadler relating to de minimus release of accounts.	0.50	\$225.00	JJL
	Review voicemails from/relating to, and telephone conversations with/relating to, approximately 2 investors.	0.60	\$270.00	RRL
	Review approximately 6 emails from/relating to investors, and investigate/provide responses thereto as appropriate	0.30	\$135.00	RRL
	Update data in the Stanford database.	0.60	\$60.00	KSV
Jun-19-09	Review amended complaint filed by SEC.	1.00	\$450.00	JJL
	Office conference with Bob Pettet relating to amended complaint.	0.30	\$135.00	JJL
	Review email correspondence from Stanford Victims Coalition and respond to same.	0.40	\$180.00	JJL
	Review release information and email from Receiver.	0.40	\$180.00	JJL
	Review and respond to David Bryant email.	0.30	\$135.00	JJL
	Review materials relating to indictment of Stanford.	0.30	\$135.00	JJL
	Review voicemails from/relating to, and telephone conversations with/relating to, approximately 1 investor.	0.50	\$225.00	RRL
	Review approximately 2 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	1.10	\$495.00	RRL
	Review SEC's Amended Complaint.	0.80	\$360.00	WGP
	Office conference with John Little regarding SEC's Amended Complaint.	0.30	\$135.00	WGP

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description			Amount	Atty
	Update data in the Stanford database	0.40	\$40.00	KSV
Jun-20-09	Review account release information.	0.30	\$135.00	JJL
	Extended email correspondence with investors (Buell and Harrison).	0.50	\$225.00	JJL
	Review Receiver's reply brief relating to fee application.	1.00	\$450.00	JJL
	Review Receiver's responses to various inquiries from the Examiner and draft response relating to same.	0.80	\$360.00	JJL
	Draft update to website text.	0.80	\$360.00	JJL
	Email correspondence with Kevin Sadler relating to de minimus release.	0.30	\$135.00	JJL
	Confer with John Little regarding Receiver's release procedures.	0.30	\$135.00	FCP
Jun-21-09	Review email from Kevin Sadler.	0.20	\$90.00	JJL
	Email correspondence with coin & bullion customer.	0.20	\$90.00	JJL
Jun-22-09	Email correspondence with Whit Wilkes and Virginia Saslow	0.40	\$180.00	JJL
	Telephone conference with David Bryant relating to account release process.	0.40	\$180.00	JJL
	Draft motion.	0.40	\$180.00	JJL
	Additional telephone conference with David Bryant.	0.20	\$90.00	JJL
	Email correspondence with Susan Staid.	0.20	\$90.00	JJL
	Email correspondence with Bill Dawson.	0.30	\$135.00	JJL
	Review and comment upon draft motion.	0.40	\$180.00	JJL
	Email to Jan Chaffin.	0.20	\$90.00	JJL

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description		Amount	Atty
	Review and respond to various emails from investors and/or investor counsel.	0.60	\$270.00 JJL
	Review Stanford motion.	0.30	\$135.00 JJL
	Review voicemails from/relating to, and telephone conversations with/relating to, approximately 1 investor.	0.20	\$90.00 RRL
	Review approximately 5 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	0.30	\$135.00 RRL
	Study Receiver's Response to Liquidator's Chapter 15 Petition and associated Affidavits of Ms. Van Tassel, Mr. Westbrook and Mr. Janvey in preparation for Examiner Report; discuss with John Little views to be expressed in Examiner Report as to COMI analysis and public policy question.	2.10	\$945.00 FCP
	Download pleadings/documents from PACER.	1.10	\$192.50 SAZ
	Update data in Stanford database.	0.30	\$30.00 KSV
	Extensive website revisions, including revision of links, uploading numerous documents and proofing.	0.70	\$70.00 CNS
Jun-23-09	Meeting with Fred Pedersen and Jaime Pinto, counsel to investors in Peru.	1.60	\$720.00 JJL
	Telephone conference with David Reece, SEC.	0.40	\$180.00 JJL
	Review various emails from Stanford investors and investor counsel and respond to same.	1.00	\$450.00 JJL
	Prepare for and attend meeting with Peruvian counsel and John Little.	1.80	\$810.00 FCP
	Office conference with John Little regarding Chapter 15 Examiner Report.	0.30	\$135.00 FCP
	Update data in Stanford database.	0.20	\$20.00 KSV

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description			Amount	Atty
Jun-24-09	Review daily account release data.	0.30	\$135.00	JJL
	Review email notifications relating to various court filings.	0.40	\$180.00	JJL
	Email to Steve Massad (Baker & Botts).	0.20	\$90.00	JJL
	Email to Bobby Long.	0.20	\$90.00	JJL
	Review Receiver's reply brief relating to property sales.	0.50	\$225.00	JJL
	Review and respond to various emails from Stanford investors and investor counsel.	0.60	\$270.00	JJL
	Review voicemails from/relating to, and telephone conversations with/relating to, approximately 2 investors.	0.60	\$270.00	RRL
	Review Stanford Response to Trustmark's Motion to Intervene, review related HP Venezuela and Trustmark Motions and propose order for Judge Godbey as to third-party letters of credit.	1.70	\$765.00	FCP
	Review Antiguan Liquidator's Consolidated Reply in support of their petition for Chapter 15 recognition.	0.90	\$405.00	FCP
	Review Liquidator's Chapter 15 Reply and Appendix and In re Tri-Continental Exchange, Ltd.	1.20	\$540.00	WGP
	Update data in Stanford database.	0.20	\$20.00	KSV
Jun-25-09	Office conference with Robert Little relating to Financial Advisor claims.	0.30	\$135.00	JJL
	Email correspondence with Peter Morgenstern.	0.30	\$135.00	JJL
	Email correspondence with Mike Quilling.	0.30	\$135.00	JJL
	Office conference with Robert Little relating to claw back claim filed by Receiver.	0.30	\$135.00	JJL
	Email correspondence with Eddie Rollins.	0.30	\$135.00	JJL
	Telephone conference with Gene Besen.	0.70	\$315.00	JJL

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description		Amount	Atty
Email correspondence with Steve Massad (Baker Botts).	0.20	\$90.00	JJL
Review voicemails from/relating to, and telephone conversations with/relating to, approximately 3 investors.	0.90	\$405.00	RRL
Review approximately 8 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	1.10	\$495.00	RRL
Meet with John Little regarding latest developments and issues and appropriate responses.	0.40	\$180.00	RRL
Review legal authorities relied upon by Liquidators in support of their position.	0.70	\$315.00	FCP
Review Appendix in support of Liquidators' Consolidated Reply.	2.20	\$990.00	FCP
Review Receiver's Response and Objections to Liquidators' Petition for Recognition.	1.20	\$540.00	FCP
Review original Chapter 15 Petition.	0.30	\$135.00	FCP
Review SEC's Opposition for Chapter 15 Petition and materials in support.	0.50	\$225.00	FCP
Review Hamilton-Smith Supplemental Declaration.	0.30	\$135.00	FCP
Work on text of Examiner Report as to COMI.	1.50	\$675.00	FCP
Continue review of Liquidator's Reply papers.	1.00	\$450.00	WGP
Update data in Stanford database.	0.20	\$20.00	KSV
Jun-26-09 Review daily account release data.	0.30	\$135.00	JJL
Review and respond to various investor emails.	0.60	\$270.00	JJL
Telephone conference with David Bryant.	0.40	\$180.00	JJL
Review second supplemental complaint filed by Receiver.	0.30	\$135.00	JJL
Telephone conference with Rose Romero.	0.30	\$135.00	JJL

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description			Amount	Atty
	Review approximately 7 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	0.40	\$180.00	JJL
	Work on text of Examiner argument with respect to Liquidators' Chapter 15 Petition.	3.20	\$1,440.00	FCP
Jun-27-09	Review approximately 18 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	0.60	\$270.00	JJL
	Review emails from various investors and investor counsel.	0.40	\$180.00	JJL
	Email correspondence with David Bryant.	0.30	\$135.00	JJL
	Email correspondence with Aaron Nelson.	0.20	\$90.00	JJL
	Various email correspondence with SEC (Rose Romero and Steve Korotash).	0.40	\$180.00	JJL
	Emails to investors Forrest, Smith and DuBois.	0.60	\$270.00	JJL
	Research various investors in release data and email to C. Preis.	0.40	\$180.00	JJL
	Various email inquiries to the Receiver.	0.40	\$180.00	JJL
	Email correspondence with J. Cohen.	0.30	\$135.00	JJL
	Office conference with Fred Pedersen relating to Chapter 15.	0.30	\$135.00	JJL
	Continue work on Examiner discussion as to COMI.	4.40	\$1,980.00	FCP
Jun-28-09	Review initial draft of Chapter 15 brief.	0.30	\$135.00	JJL
	Office conference with Fred Pedersen re Chapter 15 issues.	0.20	\$90.00	JJL
	Email correspondence with Rose Romero.	0.20	\$90.00	JJL
	Email correspondence with Gene Besen.	0.20	\$90.00	JJL

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description			Amount	Atty
	Research re SIPC issue.	0.40	\$180.00	JJL
	Email to Steve Massad (Baker Botts) re SIPC issues.	0.40	\$180.00	JJL
	Review reply brief re motion to compel.	0.20	\$90.00	JJL
	Review and revise Examiner discussion with respect to COMI.	2.80	\$1,260.00	FCP
Jun-29-09	Email correspondence with David Bryant and Gene Besen.	0.20	\$90.00	JJL
	Extended email correspondence with Kevin Sadler.	0.40	\$180.00	JJL
	Review Receiver's status report and appendix.	0.40	\$180.00	JJL
	Telephone conference with Rose Romero and Steve Korotash (SEC).	0.40	\$180.00	JJL
	Prepare for telephone hearing.	0.40	\$180.00	JJL
	Participate in telephone hearing with Court.	0.40	\$180.00	JJL
	Email correspondence with Gene Besen and David Bryant re hearing.	0.20	\$90.00	JJL
	Email correspondence with Investor counsel group relating to hearing and results.	0.50	\$225.00	JJL
	Email correspondence with Jan Chafin.	0.50	\$225.00	JJL
	Email correspondence with Peter Morgenstern.	0.30	\$135.00	JJL
	Review response to IXS Motion.	0.30	\$135.00	JJL
	Review response to Demarest motion.	0.30	\$135.00	JJL
	Draft extensive update to website text and links.	1.00	\$450.00	JJL
	Office conference with Robert Little regarding investor inquiries.	0.40	\$180.00	JJL

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description		Amount	Atty
Office conference with Bob Pettey re Chapter 15 proceedings.	0.40	\$180.00	JJL
Email correspondence with John Buzzell.	0.40	\$180.00	JJL
Email correspondence with Heckman.	0.30	\$135.00	JJL
Email correspondence to Receiver.	0.30	\$135.00	JJL
Review voicemails from/relating to, and telephone conversations with/relating to, approximately 3 investors.	1.50	\$675.00	RRL
Review approximately 5 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	0.50	\$225.00	RRL
Review Order issued by Court and discuss with John Little investor communications in connection therewith.	0.60	\$270.00	RRL
Review all Declarations and Affidavits to confirm factual assertions in Examiner's pending filing.	1.80	\$810.00	FCP
Further revise language for Examiner's Report as to Chapter 15.	3.30	\$1,485.00	FCP
Office conference with Fred Pedersen regarding Examiner's position on Chapter 15 Petition.	0.30	\$135.00	WGP
Office conference with John Little regarding Chapter 15 petition.	0.30	\$135.00	WGP
PACER searches.	0.20	\$35.00	SAZ
Extensive website additions, including adding a linked heading and uploading numerous documents, proofing and checking links.	1.10	\$110.00	CNS
Update information in Stanford database.	0.20	\$20.00	KSV
Jun-30-09 Email correspondence with investor counsel.	0.40	\$180.00	JJL
Email correspondence with Phil Preis.	0.20	\$90.00	JJL

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437
File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description		Amount	Atty
Telephone conference with Phil Preis.	0.50	\$225.00	JJL
Email correspondence with investors (Heckman and Tullis).	0.40	\$180.00	JJL
Office conferences with Robert Little relating to investor inquiries re Court Order and appropriate responses to same.	0.50	\$225.00	JJL
Work on compiling list of counsel to be made available to investors.	0.50	\$225.00	JJL
Telephone conference with Kristi Blumenschein.	0.30	\$135.00	JJL
Email correspondence with Kristie Blumenschein and Baker Botts team.	0.40	\$180.00	JJL
Conference call with Antiguan liquidators and counsel to discuss claim process web site.	0.70	\$315.00	JJL
Email correspondence with Bill Metzinger.	0.30	\$135.00	JJL
Email correspondence with S. Malkin.	0.30	\$135.00	JJL
Email correspondence with Stanford Victims Coalition members.	0.30	\$135.00	JJL
Review approximately 12 emails from/relating to investors, and investigate/provide responses thereto as appropriate.	1.50	\$675.00	RRL
Review voicemails from/relating to, and telephone conversations with/relating to, approximately 5 investors.	2.20	\$990.00	RRL
Various meetings with John Little regarding content of communications with investors.	0.70	\$315.00	RRL

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description		Amount	Atty
Revise Examiner's discussion concerning public policy exception to Chapter 15; review and revise proposed language for COMI discussion in Examiner's upcoming report.	1.10	\$495.00	FCP
Participate in conference call with Vantis personnel to preview website for claimants and discuss same with John Little.	0.70	\$315.00	FCP
Finalize language of initial draft of proposed Examiner's report concerning Chapter 15 issues.	3.70	\$1,665.00	FCP
Update information in Stanford database.	0.10	\$10.00	KSV
Total Fees	658.50	\$274,852.00	
Disbursements			
Photocopies		400.40	
Facsimiles		61.60	
Database Services		1,757.53	
Postage		0.88	
Travel Expense - Out of Town		395.54	
Hearing Transcripts		19.20	
Total Disbursements		\$2,635.15	
Total Invoice		\$277,487.15	
Previous Balance		\$0.00	
Payments Received		\$0.00	
Balance Due		\$277,487.15	

Little Pedersen Fankhauser L.L.P.

July 9, 2009

Inv #: 19437

File #: 2836

John J. Little

RE: Stanford Financial Receivership

Fee Description	Amount	Atty
-----------------	--------	------

Lawyer	Hours Worked	Hourly Rate	Amount Billed
Stephen G. Gleboff	8.40	\$450.00	\$3,780.00
John J. Little	287.20	\$450.00	\$129,240.00
Robert R. Little	145.70	\$450.00	\$65,565.00
Fred C. Pedersen	121.60	\$450.00	\$54,720.00
Walter G. Pettey, III	28.00	\$450.00	\$12,600.00
Megan K. Dredla	8.70	\$260.00	\$2,262.00
Stephanie A. Zaleskin	10.60	\$175.00	\$1,855.00
Cynthia N. Stanley	10.90	\$100.00	\$1,090.00
Kathryn S. Vandiver	37.40	\$100.00	\$3,740.00

EXAMINER'S DECLARATION

Exhibit 2

John J. Little
Curriculum Vitae

JOHN J. LITTLE
PARTNER

AREAS OF CONCENTRATION

- Alternative Dispute Resolution
- Appellate Practice
- Insurance Coverage Practice
- Litigation
- Will Contests and Fiduciary Litigation

EXPERIENCE

Mr. Little has extensive experience in insurance coverage litigation, business and commercial litigation, fiduciary litigation and environmental litigation. He also has experience in disputes involving securities and land fraud, probate and trust matters, partnerships, joint ventures, mergers and acquisitions, intellectual property, real estate transactions, employment and lending transactions. Mr. Little also has extensive experience representing clients in ADR proceedings, including mediations and arbitrations.

In the insurance coverage area, Mr. Little regularly represents insurance policy holders at trial and on appeal in coverage disputes with insurance companies. In that regard, he has handled matters involving first party property insurance claims, business interruption claims, and third party liability claims. Mr. Little has also worked with clients to monitor the defense provided by the clients' insurers and has counseled clients with respect to their rights and obligations under their insurance policies.

Mr. Little also has considerable experience representing clients in fiduciary litigation involving trusts and estates. In that regard, he has represented will contestants, estate administrators, trustees, and trust beneficiaries in a wide range of proceedings.

PUBLISHED DECISIONS

- *Kaliski v. Hunt International Resources Corp.*, 609 F. Supp. 649 (N.D. Ill. 1985)(securities)
- *Holly Energy, Inc. v. Patrick*, 239 Kan. 528, 722 P.2d 1073 (Kan. 1986)(oil & gas)
- *American Cyanamid Company v. Mississippi Chemical Corporation*, 817 F.2d 91 (11th Cir. 1987)(contract)
- *Collins v. Williamson Printing Corp.*, 746 S.W.2d 489 (Tex. App.-Dallas 1988, no writ)(contract/debt)
- *W.O. Akin v. Q-L Investments, Inc.* 959 F.2d 521 (5th Cir. 1992)(securities)
- *Chickasha Cotton Oil Company v. Houston General Insurance Company*, 2002 Westlaw 1792467 (Tex. App.-Dallas, August 6, 2002)(op'n on rehearing)(O'Neill, J.)(insurance coverage)
- *Marion v. Davis*, 106 S.W.3d 860 (Tex. App.-Dallas 2003, pet. denied)(probate)
- *Sport Supply Group, Inc. v. Columbia Casualty Company and RSKCo Claims Services, Inc.*, 353 F.3d 453, 67 U.S.P.Q.2d 1225 (5th Cir, 2003)(insurance coverage).
- *Wyndham International, Inc. v. Ace American Ins. Co., et al.*, 186 S.W.3d 682 (Tex. App.-Dallas 2006, no pet.)(insurance coverage)

PUBLICATIONS

"Towards Respect for Corporate Separateness in Defining the Reach of CERCLA Liability," 44 Sw. L. J. 1499 (1991)

PROFESSIONAL ACTIVITIES AND MEMBERSHIPS

Dallas Bar Foundation (*Life Fellow*)

State Bar of Texas

American Bar Association

Best Lawyers in America, Insurance Law, 2007-2008

Texas Best Lawyers, Insurance Law, 2008

EDUCATION BACKGROUND

Yale Law School, 1983, J.D.

Rutgers University (Cook College), 1980, B.S.

highest honors

CIVIC INVOLVEMENT

Make a Wish Foundation of North Texas, Wish Night 2007, *Honorary Chair*

Children's Cancer Fund, Inc., *General Counsel* (2005 to present)

Children's Cancer Fund, Inc., Member, Board of Directors (2000-2004; Chairman, 2003)

EXAMINER'S DECLARATION

Exhibit 3

Curriculum Vitae For

Megan K. Dredla
Stephen G. Gleboff
Robert R. Little
Fred C. Pedersen
Walter G. Pettey, III

MEGAN K. DREDLA
ASSOCIATE

AREAS OF CONCENTRATION

- Appellate Practice
- Litigation
- Intellectual Property and Computer Law

EXPERIENCE

Ms. Dredla works with clients on a variety of litigation matters, specializing in intellectual property issues. She has represented clients in disputes involving trademark and copyright infringement, domain name issues, business torts, deceptive trade practices, and real estate transactions. Ms. Dredla has significant experience in federal court practice and arbitration.

- Successful prosecution of claims for cybersquatting, trademark infringement, and misappropriation on behalf of leading developer of industrial products
- Advised electronics manufacturer in trademark and trade dress issues including protection of intellectual property through prosecution of online infringement
- Represented automotive technology company in copyright and trademark infringement dispute involving software piracy
- Represented software company in patent infringement litigation involving virtual environments
- Represented computer hardware, software, and services provider in disputes involving U.S. and foreign copyright infringement and business torts
- Advised clothier on copyright issues relating to clothing design
- Assisted in successful appeal of state court summary judgment award involving claims of fraud and conspiracy

PUBLISHED DECISIONS

- *The Profitlve Partnership v. Surber*, 2007 WL 2331027, 248 S.W.3d 259 (Tex. App.—Fort Worth 2007, no pet.)(fraud and conspiracy)
- *GoNannies, Inc. v. GoAupair.com*, 464 F. Supp. 2d 603 (N.D. Tex. 2006)

PUBLICATIONS

- *Hands Off My Brand: Search Engines and Trademark Law*, RETAIL LAW STRATEGIST, February 2007

PROFESSIONAL ORGANIZATIONS

Dallas Bar Association
State Bar of Texas
American Bar Association
Intellectual Property Section
U.S. District Court, Northern, Eastern, Southern, and Western Districts of Texas
International Trademark Association
Enforcement Committee
Subcommittee on Discovery Practices & Procedures

EDUCATION BACKGROUND

Washington University in St. Louis, 2005, J.D.

Associate Editor, Washington University Journal of Law & Policy

Law Clerk, U.S. Senate Judiciary Committee

Southern Methodist University, 2002, B.A.

magna cum laude with departmental distinction

Phi Beta Kappa

Tower Fellowship in Political Science

CIVIC INVOLVEMENT

SPCA of Texas

Dallas Independent School District E-Mentoring Program

STEPHEN G. GLEBOFF
PARTNER

AREAS OF CONCENTRATION

- Appellate Practice
- Litigation
- Intellectual Property and Computer Law

EXPERIENCE

Mr. Gleboff has extensive experience representing clients in a broad range of commercial disputes, including securities and corporate governance matters, class-action defense, internal investigations, and intellectual property protection. He has represented clients in bench and jury trials, arbitration proceedings, and administrative agency hearings. Mr. Gleboff has briefed and argued successful appeals in state appellate courts as well as the U.S. Court of Appeals for the Fifth Circuit.

- Defended a computer hardware manufacturer in class-action litigation involving an alleged breach of warranty
- Defended a telecommunications company in U.S. District Court in a one-month jury trial involving copyright infringement, trade secrets, and antitrust claims
- Defended an individual accused of securities fraud by the SEC in a bench trial in U.S. District Court resulting in dismissal following the SEC's case-in-chief
- Successfully prosecuted a mandatory injunction for a telecommunication company requiring the defendant to un-encrypt computer files
- Representation of leading developer of industrial products in litigation involving claims of cybersquatting, trademark infringement, and misappropriation

PUBLISHED DECISIONS

- *GoNannies, Inc. v. GoAupair.com*, 464 F. Supp. 2d 603 (N.D. Tex. 2006)
- *Stewart Family Funeral Home, Ltd. v. Funeral Directors' Life Insurance Co.*, 410 F. Supp. 2d 514 (E.D. Tex. 2006)
- *Alcatel U.S.A. v. DGI Technologies, Inc.*, 166 F.3d 772 (5th Cir. 1999)
- *DSC Communications Corp. v. DGI Technologies, Inc.*, 81 F.3d 597 (5th Cir. 1996)

PROFESSIONAL ACTIVITIES AND MEMBERSHIPS

Dallas Bar Association (*Fellow*)

State Bar of Texas

State Bar of Florida

U.S. Court of Appeals, Fifth Circuit

U.S. District Court, Northern, Eastern, Southern, and Western Districts of Texas

EDUCATION BACKGROUND

Southern Methodist University, 1988, J.D.

Southwestern Law Review, Associate Editor

Southern Methodist University, 1985, B.B.A.

magna cum laude

ROBERT R. LITTLE
PARTNER

AREAS OF CONCENTRATION

- Business Law
- Intellectual Property and Computer Law

EXPERIENCE

Mr. Little has been involved in a wide variety of business matters and commercial transactions. Mr. Little has experience in structuring, negotiating and documenting a wide variety of business arrangements, including agreements relating to the purchase and sale of goods and services, the purchase and sale of businesses, the creation of domestic and international distribution networks and the creation of joint ventures, partnerships and teaming relationships. Mr. Little also has experience in the structuring and formation of business entities and the provision of advice with respect to compliance with statutory and regulatory provisions applicable to businesses and other day-to-day business matters.

While being involved in a wide variety of business matters, Mr. Little has had a extensive involvement with technology-based businesses and their specialized legal needs. Mr. Little has experience in structuring, negotiating and documenting agreements relating to the development, ownership and licensing of computer software and other intellectual property, the purchase, sale and leasing of computer equipment, the acquisition and provision of information technology and the creation of outsourcing relationships.

PUBLICATIONS

- "*Sales and Leases of Personal Property*" published in Advanced Business Drafting Course materials (1991). Mr. Little has also spoken at numerous seminars relating to business law and contract drafting and negotiation.

PROFESSIONAL ACTIVITIES AND MEMBERSHIPS

Dallas Bar Association
State Bar of Texas
Texas Bar Foundation (*Fellow*)

EDUCATIONAL BACKGROUND

Baylor University, 1977, J.D., *cum laude*
Baylor University, 1974, B.A., *cum laude*

CIVIC INVOLVEMENT

Ethics Committee, Home Hospice of Grayson County (*Member*)

FRED C. PEDERSEN
PARTNER

AREAS OF CONCENTRATION

- Business Law
- International Business

EXPERIENCE

Mr. Pedersen has represented individual entrepreneurs and large and small enterprises in a wide variety of business transactions. Much of his practice is focused on transactions with international aspects, including inbound and outbound investments, planning and implementation of offshore business operations and joint ventures, international financial transactions, and cross-border purchase, sale and distribution of goods. He also practices in the related areas of U.S. export controls and international legal compliance. In addition to his international experience, his practice involves representation of individuals and entities in many types of wholly domestic business transactions, including business acquisitions and dispositions, asset purchases and sales, succession planning for closely held businesses, real estate transactions, including acquisitions, leasing transactions and real estate development, planning and structuring of new businesses, and business agreements such as employment agreements, licenses, loan and credit agreements, distribution and sales representative agreements, and the like.

PUBLICATIONS

- *Letters of Credit, Tax Management Foreign Income Portfolio No. 418* (1980) (with William P. Streng)
- *Reporting of Foreign Investment in U.S. Business--Commerce Department Requirements*, Tax Management International Journal, TMIJ 80-8, August, 1980
- *Choice of Law and Usury Limits under Texas Law and the National Bank Act*, 34 Sw.L.J. 755 (1980) (with John C. Cox)
- *U.S. Regulation of Participation in Foreign Boycotts – Commerce Department and Treasury Department Regulations*, Tax Management International Journal, TMIJ 80-9, and 80-10, September, 1980 and October, 1980
- *Woods-Tucker – A New Analysis of Texas Choice of Law Principles?*, 18 Bulletin of the Section on Corporation, Banking & Business Law 11 (1981) (with John C. Cox)
- *Real Estate Investments by Foreign Persons After the Foreign Investment in Real Property Tax Act of 1980*, 11 Real Estate L.J. (1982) (with William M. Sharp)
- *Foreign Investment in the United States – Reporting Requirements*, Tax Management Foreign Income Portfolio No. 428 (1982)
- *Letters of Credit – Chapter 17*, SMU Institute on International Finance (1985) (with Dana G. Nahlen)
- *Conflict of Laws*, 40 Sw. L.J. 401 (1986) (with James Paul George)
- *Conflict of Laws*, 41 Sw. L.J. 383 (1987) (with James Paul George)

PROFESSIONAL ACTIVITIES AND MEMBERSHIPS

Dallas Bar Association
State Bar of Texas
New York State Bar Association

EDUCATIONAL BACKGROUND

Columbia University School of Law, 1978, LL.M.

University of Toledo School of Law, 1977, J.D.

State University of New York, 1974, B.A.

CIVIC INVOLVEMENT

Adjunct Professor of Law, Southern Methodist University School of Law, 1987 to 1995

WALTER G. PETTEY, III
PARTNER

AREAS OF CONCENTRATION

- Alternative Dispute Resolution
- Appellate Practice
- Litigation

EXPERIENCE

Mr. Pettey has represented clients in a wide variety of commercial and business litigation and arbitration matters since 1977. Mr. Pettey has tried or settled cases involving contract disputes, banking, ERISA benefits, oil and gas exploration and development, real estate, software design and development, construction, securities fraud, breach of fiduciary duty, fraud, and other complex commercial disputes. In 2002, Mr. Pettey was selected by the American Arbitration Association to be a member of the AAA Panel of Arbitrators.

PUBLICATIONS

- *"Pre-Arbitration Litigation: A Contradiction in Concepts"* 20 The Advocate 3 (State Bar of Texas, Spring 2001)

PROFESSIONAL ACTIVITIES AND MEMBERSHIPS

Dallas Bar Association

State Bar of Texas

State Bar of Alabama

U.S. Court of Appeals for the Fifth Circuit, Law Clerk, Judge Walter P. Gewin, 1976-1977

American Arbitration Association, Panel of Arbitrators

EDUCATIONAL BACKGROUND

University of Alabama School of Law, 1976, J.D.

Washington & Lee University, 1971, B.A.

MILITARY SERVICE

Mr. Pettey served in the U.S. Army as a Lieutenant from 1971 to 1973. He served as a Platoon Leader in a Transportation Company at Long Binh, South Vietnam and as an intelligence analyst and briefing officer at MACV Headquarters in Saigon.